

Rec'd PCT/PTO 18 NOV 2002

09/980819

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<u>TO</u>		<u>FROM</u>	
Name:	Ex.: Winston Alvarado	Name:	Arthur S. Garrett
Firm:	USPTO	Phone No.:	See Above
Fax No.:	703-305-3230	Fax # Verified by:	V.F. LaClere - MD 656
Phone No.:	703-305-6421	# Pages (incl. this)	9
Subject:	Response to Notification of Missing Requirements	Date:	November 18, 2002
For:	USSN 09/980,819	Our Ref:	8685-1

Confirmation Copy to Follow: YES

Message:

130367_1

If there is a problem with this transmission, notify fax room at (202) 408-4174 or the sender at the number above.

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ASG/USD

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

National Stage of International Application No. PCT/PT00/00007 under 35 U.S.C. 371, for
**PRODUCTION BY YEASTS OF ASPARTIC PROTEINASES FROM PLANT ORIGIN WITH SHEEP'S,
COW'S, GOAT'S MILK, ETC. CLOTTING AND PROTEOLYTIC ACTIVITY**

Filed: **December 7, 2001**Application No.: **09/980,819**Inventors: **1) Maria Salomé SOARES PAIS, 2) Filomena da Conceição S. S. CALIXTO, and
3) Rudy J. PLANTA****BOX PCT**

1. Two checks in the amount of \$65.00 for Missing Requirements fee and \$200.00 for Two Months Extension of Time fee
2. Transmittal Letter concerning a filing under 35 U.S.C. 371. (Duplicate)
3. Request For Extension of Time
4. Declaration of the inventors

Dated: May 23, 2002

Docket No.: 08685.0001

CUSTOMER NUMBER: 22,852

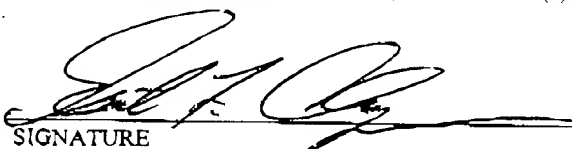
ASG/FPD/sci - Mail Drop 360-



(Due Date: May 25, 2002)

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FORM PTO-1590 REV. 2/01T		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 08685.0001 Customer No.: 22,852
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37CFR1.5) 09/980,819
INTERNATIONAL APPLICATION NO. PCT/PT00/00007	INTERNATIONAL FILING DATE June 9, 2000	PRIORITY DATE CLAIMED June 9, 1999	
TITLE OF INVENTION: PRODUCTION BY YEASTS OF ASPARTIC PROTEINASES FROM PLANT ORIGIN WITH SHEEP'S, COW'S, GOAT'S MILK, ETC. CLOTTING AND PROTEOLYTIC ACTIVITY			
APPLICANTS FOR DO/EO/US: 1) Maria Salomé SOARES PAIS, 2) Filomena da Conceição S. S. CALIXTO, and 3) Rudy J. PLANTA			
Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1	<input type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	
2	<input checked="" type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3	<input type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.	
4	<input type="checkbox"/>	The US has been elected by the expiration of 19 months from the priority date (Article 31).	
5	<input type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).	
	a.	<input type="checkbox"/>	is attached hereto (required only if not communicated by the International Bureau).
	b.	<input type="checkbox"/>	has been communicated by the International Bureau.
	c.	<input type="checkbox"/>	is not required, as the application was filed with the United States Receiving Office (RO/US).
6	<input type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).	
	a.	<input type="checkbox"/>	is attached hereto.
	b.	<input type="checkbox"/>	has been previously submitted under 35 U.S.C. 154 (d)(4).
7	<input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).	
	a.	<input type="checkbox"/>	are attached hereto (required only if not communicated by the International Bureau).
	b.	<input type="checkbox"/>	have been communicated by the International Bureau.
	c.	<input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.
	d.	<input type="checkbox"/>	have not been made and will not be made.
8	<input type="checkbox"/>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).	
9	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).	
10	<input type="checkbox"/>	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).	
Items 11 to 20 below concern document(s) or information included:			
11	<input type="checkbox"/>	Information Disclosure Statement under 37 CFR 1.97 and 1.98	
12	<input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13	<input type="checkbox"/>	A FIRST preliminary amendment.	
14	<input type="checkbox"/>	A SECOND or SUBSEQUENT preliminary amendment.	
15	<input type="checkbox"/>	A Substitute specification.	
16	<input type="checkbox"/>	A change of power of attorney and/or address letter.	
17	<input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.	
18	<input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154 (d)(4).	
19	<input type="checkbox"/>	A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).	
20	<input checked="" type="checkbox"/>	Other items or information:	
	a.	<input type="checkbox"/>	Copy of cover page of International Publication No. WO
	b.	<input checked="" type="checkbox"/>	Copy of Notification of Missing Requirements.
	c.	<input checked="" type="checkbox"/>	Request For Extension of Time.

U.S. APPLICATION NO. (if known, see 37CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
09/980,819		PCT/PT00/00007		08685.0001	
21 <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33 (1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$					
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	26	- 20 =	6	x \$18.00	\$
Independent Claims	5	- 3 =	2	x \$84.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	\$
TOTAL OF THE ABOVE CALCULATIONS =				\$130.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$65.00	
SUBTOTAL =				\$65.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$	
TOTAL NATIONAL FEE =				\$65.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$	
TOTAL FEES ENCLOSED =				\$65.00	
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>65.00</u> - to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 1300 I Street, N.W. Washington, D.C. 20005-3315 EFC/FPD/sci DATED: May 23, 2002					
				 SIGNATURE Ernest F. Chapman/25,961 NAME/REGISTRATION NO.	



UNITED STATES PATENT AND TRADEMARK OFFICE

Commission for Patents, Box 601
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/980,819	FIRST NAMED APPLICANT Maria Salome Soares Pais	ATTY DOCKET NO. 08685.0001
INTERNATIONAL APPLICATION NO. PCT/PT00/00007		
I.A. FILING DATE 06/09/2000		PRIORITY DATE 06/09/1999

Finnegan Henderson Farabow
Garrett & Dunner
1300 I Street N W
Washington, DC 20003-3315

RECEIVED

FEB 04 2002

FINNEGAN, HENDERSON,
FARABOW, GARRETT & DUNNER, LLP.

CONFIRMATION NO. 1528

371 FORMALITIES LETTER

OC000000007336946

Date Mailed: 01/25/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,819	PCT/PT00/00007	08685.0001